

CABINET

**Monday, 5th September, 2011
at 5.00 pm
Committee Rooms 1 and 2**

This meeting is open to the public

Members

Councillor Smith, Leader of the Council
Councillor Moulton, Cabinet Member for Children's
Services and Learning
Councillor Baillie, Cabinet Member for Housing
Councillor Fitzhenry, Cabinet Member for
Environment and Transport
Councillor Hannides, Cabinet Member for
Resources, Leisure and Culture
Councillor White, Cabinet Member for Adult Social
Care and Health

(QUORUM – 2)

Contacts

Cabinet Administrator
Judy Cordell
Tel: 023 8083 2766
Email: judy.cordell@southampton.gov.uk

Director of Economic Development
Dawn Baxendale
Tel: 023 8091 7713
Email: dawn.baxendale@southampton.gov.uk

BACKGROUND AND RELEVANT INFORMATION

The Role of the Executive

The Cabinet and individual Cabinet Members make executive decisions relating to services provided by the Council, except for those matters which are reserved for decision by the full Council and planning and licensing matters which are dealt with by specialist regulatory panels.

Executive Functions

The specific functions for which the Cabinet and individual Cabinet Members are responsible are contained in Part 3 of the Council's Constitution. Copies of the Constitution are available on request or from the City Council website, www.southampton.gov.uk

The Forward Plan

The Forward Plan is published on a monthly basis and provides details of all the key executive decisions to be made in the four month period following its publication. The Forward Plan is available on request or on the Southampton City Council website, www.southampton.gov.uk

Key Decisions

A Key Decision is an Executive Decision that is likely to have a significant

- financial impact (£500,000 or more)
- impact on two or more wards
- impact on an identifiable community

Decisions to be discussed or taken that are key

Implementation of Decisions

Any Executive Decision may be "called-in" as part of the Council's Overview and Scrutiny function for review and scrutiny. The relevant Overview and Scrutiny Panel may ask the Executive to reconsider a decision, but does not have the power to change the decision themselves.

Southampton City Council's Seven Priorities

- More jobs for local people
- More local people who are well educated and skilled
- A better and safer place in which to live and invest
- Better protection for children and young people
- Support for the most vulnerable people and families
- Reducing health inequalities
- Reshaping the Council for the future

Procedure / Public Representations

Reports for decision by the Cabinet (Part A of the agenda) or by individual Cabinet Members (Part B of the agenda). Interested members of the public may, with the consent of the Cabinet Chair or the individual Cabinet Member as appropriate, make representations thereon.

Smoking policy – The Council operates a no-smoking policy in all civic buildings.

Mobile Telephones – Please turn off your mobile telephone whilst in the meeting.

Fire Procedure – In the event of a fire or other emergency, a continuous alarm will sound and you will be advised, by officers of the Council, of what action to take.

Access – Access is available for disabled people. Please contact the Cabinet Administrator who will help to make any necessary arrangements.

Municipal Year Dates (Mondays)

2011	2012
6 June	16 January
4 July	6 February
1 August	13 February
5 September	12 March
26 September	16 April
24 October	
21 November	
19 December	

CONDUCT OF MEETING

TERMS OF REFERENCE

The terms of reference of the Cabinet, and its Executive Members, are set out in Part 3 of the Council's Constitution.

BUSINESS TO BE DISCUSSED

Only those items listed on the attached agenda may be considered at this meeting.

RULES OF PROCEDURE

The meeting is governed by the Executive Procedure Rules as set out in Part 4 of the Council's Constitution.

QUORUM

The minimum number of appointed Members required to be in attendance to hold the meeting is 2.

DISCLOSURE OF INTERESTS

Members are required to disclose, in accordance with the Members' Code of Conduct, **both** the existence **and** nature of any "personal" or "prejudicial" interests they may have in relation to matters for consideration on this Agenda.

PERSONAL INTERESTS

A Member must regard himself or herself as having a personal interest in any matter:

- (i) if the matter relates to an interest in the Member's register of interests; or
- (ii) if a decision upon a matter might reasonably be regarded as affecting to a greater extent than other Council Tax payers, ratepayers and inhabitants of the District, the wellbeing or financial position of himself or herself, a relative or a friend or:-
 - (a) any employment or business carried on by such person;
 - (b) any person who employs or has appointed such a person, any firm in which such a person is a partner, or any company of which such a person is a director;
 - (c) any corporate body in which such a person has a beneficial interest in a class of securities exceeding the nominal value of £5,000; or
 - (d) any body listed in Article 14(a) to (e) in which such a person holds a position of general control or management.

A Member must disclose a personal interest.

Cont/...

Prejudicial Interests

Having identified a personal interest, a Member must consider whether a member of the public with knowledge of the relevant facts would reasonably think that the interest was so significant and particular that it could prejudice that Member's judgement of the public interest. If that is the case, the interest must be regarded as "prejudicial" and the Member must disclose the interest and withdraw from the meeting room during discussion on the item.

It should be noted that a prejudicial interest may apply to part or the whole of an item.

Where there are a series of inter-related financial or resource matters, with a limited resource available, under consideration a prejudicial interest in one matter relating to that resource may lead to a member being excluded from considering the other matters relating to that same limited resource.

There are some limited exceptions.

Note: Members are encouraged to seek advice from the Monitoring Officer or his staff in Democratic Services if they have any problems or concerns in relation to the above.

Principles of Decision Making

All decisions of the Council will be made in accordance with the following principles:-

- proportionality (i.e. the action must be proportionate to the desired outcome);
- due consultation and the taking of professional advice from officers;
- respect for human rights;
- a presumption in favour of openness, accountability and transparency;
- setting out what options have been considered;
- setting out reasons for the decision; and
- clarity of aims and desired outcomes.

In exercising discretion, the decision maker must:

- understand the law that regulates the decision making power and gives effect to it. The decision-maker must direct itself properly in law;
- take into account all relevant matters (those matters which the law requires the authority as a matter of legal obligation to take into account);
- leave out of account irrelevant considerations;
- act for a proper purpose, exercising its powers for the public good;
- not reach a decision which no authority acting reasonably could reach, (also known as the "rationality" or "taking leave of your senses" principle);
- comply with the rule that local government finance is to be conducted on an annual basis. Save to the extent authorised by Parliament, 'live now, pay later' and forward funding are unlawful; and
- act with procedural propriety in accordance with the rules of fairness.

AGENDA

Agendas and papers are now available via the Council's Website

1 APOLOGIES

To receive any apologies.

2 DISCLOSURE OF PERSONAL AND PREJUDICIAL INTERESTS

In accordance with the Local Government Act 2000, and the Council's Code of Conduct adopted on 16th May 2007, Members to disclose any personal or prejudicial interests in any matter included on the agenda for this meeting.

NOTE: Members are reminded that, where applicable, they must complete the appropriate form recording details of any such interests and hand it to the Democratic Support Officer.

3 STATEMENT FROM THE LEADER

4 RECORD OF THE PREVIOUS DECISION MAKING

Record of the decision making held on 1 August 2011 attached.

5 MATTERS REFERRED BY THE COUNCIL OR BY THE OVERVIEW AND SCRUTINY MANAGEMENT COMMITTEE FOR RECONSIDERATION (IF ANY)

There are no matters referred for reconsideration.

6 REPORTS FROM OVERVIEW AND SCRUTINY COMMITTEES (IF ANY)

There are no items for consideration

7 EXECUTIVE APPOINTMENTS

To deal with any executive appointments, as required.

MONITORING REPORTS

8 FIRST QUARTER PERFORMANCE MONITORING FOR 2011/12

Report of the Leader of the Council detailing performance in the first quarter of 2011-2012, attached.

9 FINANCIAL MONITORING FOR THE PERIOD TO THE END OF JUNE 2011

Report of the Cabinet Member for Resources, Leisure and Culture detailing the financial monitoring for the period to the end of June 2011, attached.

ITEMS FOR DECISION BY CABINET

10 EXCLUSION OF THE PRESS AND PUBLIC - CONFIDENTIAL PAPERS INCLUDED IN THE FOLLOWING ITEM

To move that in accordance with the Council's Constitution, specifically the Access to Information Procedure Rules contained within the Constitution, the press and public be excluded from the meeting in respect of any consideration of the confidential appendices to the following Item

It is not considered to be in the public interest to disclose this information because the Appendices contain confidential and commercially sensitive information which would impact on the integrity of a commercial procurement process and the Council's ability to achieve 'Best value' in line with its statutory duties

11 MEETING THE CARE QUALITY COMMISSIONS STANDARDS IN COUNCIL CARE HOMES

Report of the Cabinet Member for Adult Social Care and Health seeking approval for the refurbishment of Council owned residential homes, attached.

12 DISPOSAL OF LAND AT 512 PORTSMOUTH ROAD SHOLING, SOUTHAMPTON

Report of the Cabinet Member for Resources, Leisure and Culture seeking approval the disposal of land, attached.

13 CITY PLAN

Report of the Leader of the Council seeking Cabinet approval to recommend the City Plan to Council, attached.

CABINET MEMBER DECISIONS

14 TO ADOPT THE SOUTHAMPTON JOINT STRATEGIC NEEDS ASSESSMENT 2011 - 14

Report of the Executive Director for Health and Adult Social Care seeking approval for the process of the Joint Strategic Needs Assessment on behalf of the Council

Agenda Item 4

EXECUTIVE DECISION MAKING

RECORD OF THE DECISION MAKING HELD ON 1 AUGUST 2011

Present:

Councillor Smith	-	Leader of the Council (except item 21)
Councillor Moulton	-	Cabinet Member for Children's Services and Learning (except item 21)
Councillor Baillie	-	Cabinet Member for Housing (except item 21)
Councillor Fitzhenry	-	Cabinet Member for Environment and Transport
Councillor Hannides	-	Cabinet Member for Resources, Leisure and Culture (except item 21)
Councillor White	-	Cabinet Member for Adult Social Care and Health

21. PROPOSED CHANGES TO PERMITS AND PERMIT CHARGES FOR RESIDENTS PARKING SCHEMES ZONES 1-12 & 16 (TRO)

DECISION MADE: (Ref: CAB 11/12 6674)

On consideration of the report of the Head of Highways and Parking Services concerning objections received during public consultations on proposals for the decision maker made the following decision:-

- (i) With the proviso that the terms and conditions for the issue of permits refer to a continuous use of a maximum of 6 days and not 14 as previously proposed, the introduction of charges for (day) Visitor Permits and the introduction of an Annual Visitor Permit, with consideration of any additional conditions of use that may be required to prevent misuse. Also the changes to the issue and conditions of use for Business Permits.
- (ii) The charge for a Second Residents Parking Permit is reduced from £60 to £30 per year.

22. EXECUTIVE APPOINTMENTS

Cabinet approved:

- (i) the appointment of Councillor L Harris to Adoption Panel 2 replacing Councillor Parnell;
- (ii) Councillor Hannides relinquished his appointment on the Mayflower Theatre Trust and that no further Southampton City Council appointment would be made to the Trust in the future.

23. SOUTHAMPTON BUS PARTNERSHIP

DECISION MADE: (Ref: CAB 11/12 6632)

On consideration of the report of the Cabinet Member for Environment and Transport Cabinet agreed the following:

- (i) To approve the Southampton Bus Partnership (Appendix 1)
- (ii) To appoint the Cabinet Member for Environment and Transport to represent the Council on the Southampton Quality Bus Partnership
- (iii) To delegate authority to the Director of Environment, following consultation with the Cabinet Member for Environment & Transport to agree elements of work pertaining to the SBP.
- (iv) To approve, in accordance with Financial Procedure Rules, the addition of £300,000 in 2012/13 to the Environment and Transport Portfolio Capital Programme for a Bus Partnership scheme funded from the confirmed LTP3 Integrated Transport allocation for that year.
- (v) To agree, in principle, to add similar schemes in 2013/14 and 2014/15, subject to confirmation of the LTP3 Integrated Transport allocations for those years and to future Council capital expenditure priorities

24. HOUSING REVENUE ACCOUNT (HRA) CAPITAL PROGRAMME PROJECT APPROVALS 2011/12 - PHASE 2

DECISION MADE: (Ref: CAB 11/12 6678)

On consideration of the report of the Cabinet Member for Housing Cabinet agreed the following:

- (i) To approve a virement of £700,000 from the “Future Decent Neighbourhoods Schemes” budget in 2012/13 to the “Decent Neighbourhoods Scheme – Harefield”, phased £100,000 in 2011/12 and £600,000 in 2012/13.
- (ii) To approve a virement of £475,000 from the “Decent Homes Future Years” budget in 2012/13 to the budget for “Adaptations for Disabled People” in 2011/12.
- (iii) To approve, in accordance with Financial Procedure Rules, spending on the following schemes:

	2011/12 £000's	2012/13 £000's
<u>Decent Neighbourhoods</u>		
Harefield	100	600
<u>Total Decent Neighbourhoods</u>	100	600
<u>Decent Homes</u>		
Disabled Adaptations 11/12	475	
<u>Total Decent Homes</u>	475	
<u>TOTAL</u>	<u>575</u>	<u>600</u>

25. DISPOSAL OF HRA EMPTY PROPERTIES

DECISION MADE: (Ref: CAB 11/12 6723)

On consideration of the report of the Cabinet Member for Housing Cabinet agreed the following:

- (i) To approve a policy for the sale of up to 50 HRA dwellings per annum where:
 - The sale is in accordance with the General Housing Consents 2005; and
 - The council can keep 100% of the sale proceeds
- (ii) To delegate authority to the Environment Director to determine which dwellings should be disposed of having regard to the criteria set out in this report.

26. 2012 - 2013 GRANTS TO VOLUNTARY ORGANISATIONS

DECISION MADE: (Ref: CAB 11/12 6847)

On consideration of the report of the Cabinet Member for Housing, Cabinet agreed the following:

- (i) To approve in principle that the 2012/13 grants to voluntary organisations budget will be £1,907,300
- (ii) To authorise the Interim Director of Environment to carry out consultation on the following proposals:
 - suspending the current grant application process for awarding grants from the corporate grants budget for 2012/13
 - renewing 2011/12 grants at current levels, excluding any paid notice, for a further year until 31st March 2013 subject to satisfactory monitoring
- (iii) To delegate authority to the Interim Director of Environment following consultation with the Cabinet Member for Housing to determine whether or not to proceed with the proposals set out in recommendation (ii) and, within approved budgets, to take any other decisions necessary or expedient to determine the award of all grants for the 2012/13 financial year.

This page is intentionally left blank

Agenda Item 8

DECISION-MAKER:	CABINET
SUBJECT:	FIRST QUARTER PERFORMANCE MONITORING FOR 2011/12
DATE OF DECISION:	5 SEPTEMBER 2011
REPORT OF:	THE LEADER
STATEMENT OF CONFIDENTIALITY	
NONE	

BRIEF SUMMARY

This report outlines the progress made at the end of June 2011 against the targets and service improvement actions (commitments) contained within the 2011/12 Council Plan. The analysis contained in this report has been compiled on an exceptions basis. It only highlights variances for the targets and service improvement actions set out in the Council Plan (CP).

RECOMMENDATIONS:

- (i) Note that 77% of Council's Key Killer Performance Indicators and 89% of the Service Improvement Actions and Projects set out in the 2011/12 Council Plan are reported to be on target.

REASONS FOR REPORT RECOMMENDATIONS

1. To provide an opportunity for Cabinet to collectively review the first quarter performance results against the targets and commitments contained within the 2011/12 Council Plan.

ALTERNATIVE OPTIONS CONSIDERED AND REJECTED

2. To not submit this report. This option was rejected, as it is inconsistent with good management practice.

DETAIL (Including consultation carried out)

3. The Full Council meeting on 13th July 2011 approved the Council Plan which is a cross cutting document covering all areas of the Council's activities. The Plan reflects the leadership role of the Executive in delivering the Council's policy objectives, value for money and service improvement for the benefit of residents and businesses in the city.
4. The Council Plan identifies a short list of top priorities for improvement that the Council as a whole will focus and progress on. It has been agreed that progress against these priorities for improvement will be reported to Cabinet regularly. In addition, each Directorate will also focus on a maximum of 12 priorities for improvement with the aim of narrowing our focus on the essential performance indicators within each Directorate. The same approach will be taken at a service level, with the aim of focusing on the most important areas for improving performance.
5. This quarterly report outlines the progress made against the targets and service improvement actions set out in the 2011/12 Council Plan, on an exceptions basis. Any variations which are of concern will be escalated to the relevant Cabinet Member by Directors so that agreed appropriate action can be taken.

6. The 2011/12 Council Plan contains the agreed targets for 16 Council Key Killer Performance Indicators and 71 Service Improvement Actions and projects with milestones for 2011/12. A top-level summary of the Key Killer Performance Indicators at the end of the 1st quarter indicates that **77%** are on target. The approach this year has been to identify in the Council Plan only those performance indicators which are considered top priority for the council as a whole to focus on. Therefore, comparison with performance in previous years is difficult as the monitoring information until 2011/12 included all Performance Indicators (PI). However, it is important to note that the performance in previous years was 62% at the end of March 2011, 64% in the 1st quarter of 2010/11 and 61% at the end of 2009/10.
7. The summary also indicates that **89%** of service improvement actions were also reported to be on target, compared to 97% at the end of the 1st quarter of 2010/11 and 98% at the end of the 1st quarter of 2009/10.

Killer Key Performance Indicators

8. Until this financial year the Council has been measuring performance on more than 4,000 indicators and measurements, requiring an extraordinary level of data gathering. Much of this was driven by central government and as these requirements have significantly reduced or changed, the council used the opportunity to review performance management arrangements. This has helped to focus on the really important aspects of performance for the council as a whole and in each service. It has been agreed that the council should focus on a small number of critical Performance Indicators to work on the really important issues and this resulted in a review of all performance indicators.
9. This systematic review identified 16 critical (or 'Killer') Key Performance Indicators for the Council which were included in the Council Plan. These Killer Key Performance Indicators form the 'performance dashboard' for the Cabinet and the Management Board of Directors. Details of all of these measures are attached as Appendix 1. In addition, the Killer Key Performance Indicators for individual Directorates form a core strand of focus for the recently established Quarterly Business Reviews.
10. It should be noted that to ensure a consistent means of determining good and poor performance, the same assessment criteria have been applied as in previous monitoring reports. An indicator is therefore deemed to be:
 - On Target (Green) if performance is within 5% of the agreed target
 - Have a slight variance (Amber) if the variance is between 5 and 15%
 - Have a significant variance (Red) if the reported variance is more than 15% from the agreed target.
 - Data Unavailable (Grey)
11. At the end of the 1st quarter of 2011/12 the following two measures been highlighted as having slight variances explanations for these can be found in Appendix 2:
 - Percentage of household waste arising which have been sent by the authority for reuse recycling composting or anaerobic digestion (Former NI192)

- Percentage of total absence from school
12. At the time of writing this report, work was ongoing to establish reporting for the following new measure:
- Percentage of Children and Young People in Care with a permanence plan in place.
13. The overview of the 16 KKPIs for the Council is as follows:

Portfolio	Total	Monitored 1st Qtr	Progress at the end of quarter one			
			Green	Amber	Red	Grey
Adult Social Care & Health	2	1	1	0	0	0
Children's Services & Learning	6	6	4	1	0	1
Environment & Transport	5	4	3	1	0	0
Housing	1	1	1	0	0	0
Leaders	1	0	0	0	0	0
Resources, Leisure and Culture	1	1	1	0	0	0
1st Qtr Total 2011/12	16	13	10	2	0	1
%		100%	77%	15%	0%	8%
1st Qtr Total 2010/11	52	44	28	6	8	2
%		100	64%	13%	18%	4%
1st Qtr Total 2009/10	285	196	161	5	18	12
%		100	82%	3%	9%	6%

Service Improvement Actions (Commitments)

14. There are 71 service improvement actions contained within the Council Plan designed to improve the quality, performance and reach of council services by the end of the financial year 2011/12. Progress reported against these items at the end of the 1st quarter indicates that **89%** of these improvement actions are on track for completion by the end of March 2012.
15. At the end of the 1st quarter of 2011/12, there is one Service Improvement Action that has significantly slipped, explanations for all variances can be found in Appendix 3:
- More interventions to improve children's dental health/more children with healthy teeth.
16. At the end of the 1st quarter of 2011/12, there were also 7 Service Improvement Actions that have slightly slipped:
- Higher numbers of young people achieving well at Key Stage 2 and 4 (GSCE)
 - More children and young people attending school 100% of the time
 - More support towards increasingly effective school leadership

- Better targeted support for families with children or young people with disabilities
- Ensure caseloads are low enough to keep vulnerable children safe
- Ensure that all children and young people in the local authority's care, live in the right placement, attend school regularly, make good progress at school, and leave care equipped to do well in adult life
- Increase the percentage of children assessed whose needs for support are assessed in a timely way.

Service Improvement Actions

17. Portfolio	Total	Progress at the end of quarter one		
		Green	Amber	Red
Adult Social Care & Health	10	10	0	0
Children's Services & Learning	22	14	7	1
Environment & Transport	5	5	0	0
Housing	8	8	0	0
Leaders	20	20	0	0
Resources, Leisure and Culture	6	6	0	0
1st Qtr Total 2011/12	71	63	7	1
%	100%	89%	10%	1%
1st Qtr Total 2010/11	100	97	3	0
%	100	97%	3%	0%
1st Qtr Total 2009/10	185	181	3	1
%	100%	98%	1%	1%

RESOURCE IMPLICATIONS

Capital/Revenue

18. None

Property/Other

19. None

LEGAL IMPLICATIONS

Statutory power to undertake proposals in the report:

20. Monitoring of the Council's performance against statutory and local performance indicators is in line with the Council's statutory duties under the Local Government Acts 1999, 2000 & 2003.

Other Legal Implications:

21. None

POLICY FRAMEWORK IMPLICATIONS

22. The Council Plan forms part of the Council's approved Policy Framework.

AUTHOR:	Name:	Karen Hilleard	Tel:	023 8083 4065
	E-mail:	Karen.hilleard@southampton.gov.uk		

KEY DECISION? No

WARDS/COMMUNITIES AFFECTED:	None
------------------------------------	------

SUPPORTING DOCUMENTATION

Non-confidential appendices are in the Members' Rooms and can be accessed on-line

Appendices

1.	Council Key Killer Performance Indicators
2.	Council Plan Indicators: variances
3.	Council Plan Service Improvement Actions and Projects (Commitments): Slippage

Documents In Members' Rooms

	None
--	------

Integrated Impact Assessment

Do the implications/subject of the report require an Integrated Impact Assessment (IIA) to be carried out.	No
--	----

Other Background Documents

Integrated Impact Assessment and Other Background documents available for inspection at:

Title of Background Paper(s)	Relevant Paragraph of the Access to Information Procedure Rules / Schedule 12A allowing document to be Exempt/Confidential (if applicable)
------------------------------	--

1.	None	
----	------	--

This page is intentionally left blank

Agenda Item 9

DECISION-MAKER:	CABINET
SUBJECT:	CORPORATE REVENUE FINANCIAL MONITORING FOR THE PERIOD TO THE END OF JUNE 2011
DATE OF DECISION:	5 SEPTEMBER 2011
REPORT OF:	CABINET MEMBER FOR RESOURCES, LEISURE AND CULTURE
STATEMENT OF CONFIDENTIALITY	
Not applicable.	

BRIEF SUMMARY

This report summarises the General Fund and Housing Revenue Account (HRA) revenue financial position for the Authority for the three months to the end of June 2011, and highlights any key issues by portfolio which need to be brought to the attention of Cabinet.

RECOMMENDATIONS:

General Fund

It is recommended that Cabinet:

- (i) Note the current General Fund revenue budget monitoring position for the General Fund 2011/12 as at Month 3 (June), which is a forecast over spend at year end of **£0.4M** against the budget approved by Council on 16 February 2011, as outlined in paragraph 4.
- (ii) Note that the baseline forecast over spend for portfolios is £2.0M.
- (iii) Note that portfolios plan to take remedial action to manage a number of the corporate and key issues highlighted in this report and that the financial impact is reflected in the forecast position.
- (iv) Note that the Risk Fund includes £2.4M to cover service related risks, and that the estimated draw at Month 3 is £0.5M to cover expenditure which is included within the baseline forecast portfolio over spend of £2.0M.
- (v) Note that the Revenue Development Fund totals £1.4M. At this stage of the year it has been prudently assumed that the remainder of the Fund will be fully utilised.
- (vi) Note that £104,300 has been allocated from the contingency to fund the recommendations contained in the 2010/11 Grants to Voluntary Organisations report as approved by Cabinet on 21 June 2010.
- (vii) Note that £45,000 has been allocated from the contingency to reflect the fact that the savings proposal to increase the cost of Meals on Wheels has been reviewed and amended such that the planned increase in income will not be delivered in 2011/12.

- (viii) Note that it has been assumed that the remaining contingency of £100,700 will be fully utilised by the end of 2011/12 with this sum being allocated to fund the majority of the cost of introducing a market supplement of £1,400 per annum for a range of social workers within Children's Services & Learning on a temporary six month basis.
- (ix) Note the forecast level of balances which will fall below the minimum level of £4.5M in the medium term if further remedial action is not taken in year to reduce the forecast over spend from the current level of £0.4M to at least a break even position.
- (x) Note the performance to date with regard to the delivery of the agreed savings proposals approved for 2011/12 as detailed in Appendix 9.
- (xi) Note the performance against the financial health indicators detailed in Appendix 10.
- (xii) Note the performance outlined in the Quarterly Treasury Management Report attached as Appendix 11.

Housing Revenue Account

It is recommended that Cabinet:

- (xiii) Note the current HRA budget monitoring position for 2011/12 as at Month 3 (June), which is a forecast over spend at year end of **£34,800** against the budget approved by Council on 16 February 2011, as outlined in paragraph 19.

REASONS FOR REPORT RECOMMENDATIONS

1. To ensure that Cabinet fulfils its responsibilities for the overall financial management of the Council's resources.

ALTERNATIVE OPTIONS CONSIDERED AND REJECTED

2. Not applicable

DETAIL (Including consultation carried out)

CONSULTATION

3. Heads of Service, Budget Holders and Executive Directors have been consulted in preparing the reasons for variations contained in the appendices

4. **Financial Summary**

Appendix 1 sets out a high level financial summary for the General Fund, and shows that the overall forecast outturn position for the Council is an over spend of **£0.4M**, as shown below:

	Forecast Outturn Variance £000's	Forecast Outturn Variance %
Baseline Portfolio Total	1,951.9 A	1.0
Draw From Risk Fund	512.0 F	
Portfolio Total	1,439.9 A	0.7
Capital Asset Management	650.0 F	
Other Expenditure & Income	414.0 F	
Net Total General Fund	375.9 A	0.4

As shown in the above table, the forecast portfolio revenue outturn on net controllable spend for the end of the year compared to the working budget is an over spend of £1,439,900 and this is analysed below:

Portfolio	Baseline Forecast Outturn Variance £000's	Risk Fund Items £000's	Forecast Outturn Variance		See Appendix
			£000's	%	
Adult Social Care & Health	882.2 A	327.0 F	555.2 A	0.8	2
Children's Services & Learning	490.9 A	0.0	490.9 A	1.3	3
Environment & Transport	420.5 A	185.0 F	235.5 A	0.9	4
Housing	62.6 F	0.0	62.6 F	0.7	5
Leader's	0.0	0.0	0.0	0.0	6
Leisure & Culture	220.9 A	0.0	220.9 A	3.2	7
Resources	0.0	0.0	0.0	0.0	8
Portfolio Total	1,951.9 A	512.0 F	1,439.9 A	0.7	

The corporate and key issues affecting each portfolio are set out in Appendices 2 to 8, as per the previous table.

5. **Remedial Action**

Portfolios plan to take remedial action to manage a number of the corporate and key issues highlighted in this report. Specific actions are included within Appendices 2 to 8 where applicable and the financial impact is reflected in the forecast position.

6. **Capital Asset Management**

The favourable variance of £650,000 is primarily due to a reduction in net interest payable resulting from lower than anticipated borrowing costs. This has been as a consequence of the fact that we have borrowed at lower rates than originally estimated. Lower rates have been achieved through a conscious decision to continue to utilise short term debt which remains available at lower rates than long term debt due to the depressed market. The predictions based on all of the economic data are that this will continue for the remainder of the year.

7 **Other Expenditure & Income**

The favourable variance of £414,000 relates to three off setting factors:

- **Corporate Savings (£1.3M A)**
 - **Delayed implementation of the changes to Terms & Conditions (£1,316,000 A)** – When the budget was set in February an implementation date of 1 April was assumed on the basis that a collective agreement was still possible. The implementation date achieved following a 90 day consultation period of 11 July has resulted in a reduction in the saving to be achieved in 2011/12.
 - **HMRC Mileage Rate (£27,000 A)** – The changes to Terms & Conditions included a reduction in mileage rates to match the HMRC rate. This rate was 40p but shortly after the budget decision HMRC increased it to 45p which reduced the saving to be achieved in 2011/12. The full year impact of this is £107,800 and this will be reflected in the revised budget forecast position for future years.
- **Exceptional Items (£2.8M F)** – As a result of two separate issues a favourable variance has arisen. The items are:
 - **Supporting People (£1,340,000 F)** – In previous years this ring-fenced grant was not fully spent despite service plans being fully delivered and the balance was held separately for use in future years. This grant is no longer ring fenced and as such is available to use within the General Fund.
 - **Reduced Street Lighting PFI Payments (£1,462.00 F)** – During the early stages of the PFI contract the Council is to receive ‘service deductions’ in view of the fact that the contractor will need time to undertake work to install new columns. These deductions are currently estimated to be £1.46M in 2010/11.
- **Contribution to Direct Revenue Financing of Capital (DRF) (£1.0M A)** – Additions to the Capital Programme are to be included in the in the Capital Programme Update report which is to be approved by Council on 14 September 2011 and funding of £1.0M from DRF will be required. The precise timing of the need for this funding will be confirmed as part of the Capital Programme Update.

These items are all one off in nature. However, when the budget was set in February it was planned to utilise the funding released from Supporting People to support the budget position in 2012/13 and this will no longer be possible. This change will be reflected in the revised budget forecast

position for future years and addressed as part of the development of the budget for 2012/13.

8. **Risk Fund**

Potential pressures that may arise during 2011/12 relating to volatile areas of both expenditure and income are being managed through the Risk Fund.

A sum of £2.3M is now included in the budget to cover these pressures and is taken into account during the year as evidence is provided to substantiate the additional expenditure against the specific items identified.

At Month 3, it is estimated that pressures within portfolios will require the allocation of £0.5M from the Risk Fund, as shown in the table below:

Portfolio	Service Activity	£000's
Adult Social Care & Health	Adult Disability Care – Dementia	67.0
Adult Social Care & Health	Learning Disability – Transition/ILF	260.0
Environment & Transport	Income – Bus Shelter Contract	185.0
Portfolio Draw From Risk Fund		512.0

The Risk Fund, which previously stood at £6.1M now totals £2.3M following the allocation of £3.8M. The funding allocated is shown below:

Portfolio	Service Activity	£000's
Children's Services & Learning	Safeguarding Children	1,000.0
Environment & Transport	Income – Off Street Car Parking	1,629.0
Environment & Transport	Income – Bereavement Services	646.9
Environment & Transport	Income – Development Control	438.8
Housing	Fuel Inflation - Open Spaces	48.6
Funding Allocated From the Risk Fund		3,763.3

9. **Revenue Development Fund**

The majority of the revenue developments are complex strategic projects around which there are uncertainties in relation to timing and speed of progress. Consequently, it was agreed that funding for these projects be placed into a Revenue Development Fund to enable the Council to retain flexibility in funding.

The Revenue Development Fund which originally stood at £2.2M now totals £1.4M following the allocation of £0.8M to fund approved highways improvements. At this stage of the year it has been prudently assumed that the remainder of the Fund will be fully utilised in 2011/12.

10. **Contingency**

The contingency was originally set at £250,000 and of this £149,300 has been allocated to date as follows:

- **Grants to Voluntary Organisations (£104,300)** – Allocated from the contingency to fund the recommendations contained in the 2010/11 Grants to Voluntary Organisations report as approved by Cabinet on 21 June 2010.
- **Meals On Wheels (£45,000)** – Allocated from the contingency to reflect the fact that the savings proposal to increase the cost of Meals on Wheels has been reviewed and amended such that the planned increase in income will not be delivered in 2011/12.

The remaining sum of £100,700 is to be allocated to Children's Services & Learning. Current market conditions nationally are such that the supply of social workers is insufficient to meet demand and there is significant competition between authorities to recruit and retain high calibre social work staff. The council has acted to try and retain qualified social work staff by proposing a market supplement of £1,400 per annum for a range of social workers within Children's Services & Learning on a temporary six month basis. This will cost an additional £108,000 for 2011/12 and £100,700 will be met from the remaining Contingency with the rest managed within the bottom line of the Portfolio.

11. **Approved Carry Forward Requests**

Full Council has agreed to automatically carry forward any surplus/deficit on Central Repairs and Maintenance at year-end subject to the overall financial position of the Authority. Furthermore, Cabinet has approved the delegation of authority to the Chief Financial Officer following consultation with the Cabinet Member for Leisure, Culture & Resources to allocate premises related resources (revenue and capital) in order to maximise the efficient use of resources in respect of general repairs and maintenance, major works to civic buildings and the implementation of the accommodation strategy.

At this stage of the year no variance to planned spend is anticipated and this will be actively monitored each month.

12. **Potential Carry Forward Requests / Impact on Balances**

Portfolios have not highlighted any potential carry forwards for submission which is as to be expected at this early stage of the year.

13. **Forecast Employee Expenditure**

Included within the baseline forecast portfolio over spend of £2.0M is a forecast under spend on employees of £0.1M. The position by portfolio is as follows:

Portfolio - Employee Costs	Variance to June £000's	Forecast Outturn Variance £000's	Forecast Outturn Variance %
Adult Social Care & Health	67.6 F	209.7 F	1.1
Children's Services & Learning	180.8 A	167.4 A	0.6
Environment & Transport	109.8 F	53.2 A	0.4
Housing	179.7 A	123.5 F	1.5
Leader's	55.9 A	55.0 F	0.7
Leisure & Culture	17.7 A	47.7 A	0.9
Resources	52.5 A	7.2 A	0.0
Total General Fund	309.2 A	112.5 F	0.1

14. **Key Portfolio Issues**

The corporate and other key issues for each portfolio are detailed in Appendices 2 to 8.

It is good practice to recognise that any forecast is based on assumptions about key variables and to undertake an assessment of the risk surrounding these assumptions.

Having done this a forecast range has been produced for each corporate and key issue, where applicable, which represents the pessimistic and optimistic forecast outturn position. This range is included within the detail contained in Appendices 2 to 8.

There are, however, certain corporate issues which are highlighted in the tables below as being the most significant for Cabinet to note. The adverse variances are noted in the first table, with any significant favourable variances detailed in the second table:

Corporate Adverse Variances

Portfolio	Corporate Issue	Adverse Forecast £000's	See Appendix & Reference
Adult Social Care & Health	Adult Disability Care Services	721.8	2 – ASCH 1
Adult Social Care & Health	Learning Disability	501.8	2 – ASCH 2
Children's Services & Learning	Tier 4 Safeguarding Specialist Services	695.0	3 – CSL 2
Children's Services & Learning	Safeguarding Management	428.4	3 – CSL 3
Children's Services & Learning	Tier 3 Social Work Teams	275.8	3 – CSL 4
Environment & Transport	Off Street Car Parking	248.5	4 – E&T 1
Environment & Transport	Bus Shelters	185.0	4 – E&T 2

Corporate Favourable Variances

Portfolio	Corporate Issue	Favourable Forecast £000's	See Appendix & Reference
Adult Social Care & Health	Provider Services – City Care	214.7	2 – ASCH 3
Children's Services & Learning	Commissioning & Workforce Development	415.9	3 – CSL 1
Environment & Transport	Waste Disposal	336.6	4 – E&T 3

15. **General Fund Balances**

It is important for Cabinet to consider the position on balances. The table below shows the latest predicted position after taking into account the outturn for 2010/11 and the forecast position for 2011/12 as outlined in this monitoring report:

	2010/11	2011/12	2012/13	2013/14	2014/15
	£000's	£000's	£000's	£000's	£000's
Opening Balance	19,849.5	17,393.9	9,384.2	4,847.9	4,082.4
Draw from / (to Support) Revenue	2,369.2	(126.9)			
Draw to Support Capital	(499.6)	(145.0)			
Draw for Strategic Schemes	(4,325.2)	(7,737.8)	(4,536.3)	(765.5)	42.3
Closing Balance	17,393.9	9,384.2	4,847.9	4,082.4	4,124.7

The minimum level of balances is set at £4.5M and consequently further remedial action must be taken in year to reduce the forecast over spend from the current level of £0.4M to at least a break even position. If this is not achieved then any shortfall will need to be addressed as part of the development of the budget for future years in order to replenish balances.

16. Implementation of Savings Proposals

Savings proposals of £11.9M were approved by Council in February 2011 as part of the overall budget package for 2011/12. The delivery of these savings is key to the financial position of the authority and below is a summary of the progress as at the end of the first quarter:

Portfolio	Implemented and Saving Achieved	Not Yet Fully Implemented and Achieved But Broadly on Track	Saving Not on Track to be Achieved
	%	%	%
Adult Social Care & Health	26.8	65.1	8.1
Children's Services & Learning	100.0	0.0	0.0
Environment & Transport	61.8	38.2	0.0
Housing	41.2	24.2	34.6
Leader's	85.7	14.3	0.0
Leisure & Culture	13.9	38.5	47.6
Resources	89.1	10.9	0.0
Total General Fund	62.0	29.9	8.1

The overall shortfall in the delivery of the savings proposals is currently forecast as £0.6M or 5.0% as for some of the proposals, whilst the actions may not be on track to be fully implemented, progress has been made towards delivery of the financial outcomes.

Where savings are not on track to be achieved this is due to non implementation in some cases but also due to the impact of factors such as rising demand for services which have meant that despite being implemented the financial savings have not materialised.

The progress made in implementing and delivering the savings proposals has been reviewed by the Chief Officers Management Team and Appendix 9 contains further details. The financial implications of the delivery of these proposals are reflected in the current forecast position and areas of ongoing concern have been fully reviewed and appropriate action plans put into place. In addition, any implications for the budget for 2012/13 and future years will be addressed as part of the development of the budget.

17. **Financial Health Indicators**

In order to make an overall assessment of the financial performance of the authority it is necessary to look beyond pure financial monitoring and take account of the progress against defined indicators of financial health.

Appendix 10 outlines the performance to date, and in some cases the forecast, against a range of financial indicators which will help to highlight any potential areas of concern where further action may be required.

18. **Quarterly Treasury Management Report**

The Council approved a number of indicators at its meeting of the 16 February 2011 and Appendix 11 outlines current performance against these indicators in more detail.

19. **Housing Revenue Account**

The expenditure budget for the HRA is £63.9M and the income budget is £3.9M. The overall forecast position for the year end shows an adverse variance of £34,800. There are no corporate variances to report but the detail is set out in Appendix 12.

RESOURCE IMPLICATIONS

Capital

20. None.

Revenue

21. Contained in the report

Property/Other

22. None.

LEGAL IMPLICATIONS

Statutory power to undertake proposals in the report:

23. Financial reporting is consistent with the Chief Financial Officer's duty to ensure good financial administration within the Council.

Other Legal Implications:

24. Not applicable.

POLICY FRAMEWORK IMPLICATIONS

25. Not applicable.

AUTHOR:	Name:	Alison Chard	Tel:	023 8083 4897
	E-mail:	Alison.Chard@southampton.gov.uk		

KEY DECISION? Yes/No YES

WARDS/COMMUNITIES AFFECTED:	ALL
------------------------------------	-----

SUPPORTING DOCUMENTATION

Non-confidential appendices are in the Members' Rooms and can be accessed on-line

Appendices

1.	General Fund Summary
2.	Adult Social Care & Health Portfolio
3.	Children's Services & Learning Portfolio
4.	Environment & Transport Portfolio
5.	Housing Portfolio
6.	Leader's Portfolio
7.	Leisure & Culture Portfolio
8.	Resources Portfolio
9.	Implementation of Savings Proposals
10.	Financial Health Indicators
11.	Quarterly Treasury Management Report
12.	Housing Revenue Account

Documents In Members' Rooms

1.	None
----	------

Integrated Impact Assessment

Do the implications/subject of the report require an Integrated Impact Assessment (IIA) to be carried out.	Yes/No
--	--------

Other Background Documents

Integrated Impact Assessment and Other Background documents available for inspection at:

Title of Background Paper(s)	Relevant Paragraph of the Access to Information Procedure Rules / Schedule 12A allowing document to be Exempt/Confidential (if applicable)
------------------------------	--

1.	General Fund Revenue Budget Report 2011/12 to 2013/14 (Approved by Council on 16 February 2011)	
----	---	--

DECISION-MAKER:	CABINET COUNCIL
SUBJECT:	MEETING THE CARE QUALITY COMMISSIONS STANDARDS IN COUNCIL CARE HOMES
DATE OF DECISION:	5 SEPTEMBER 2011 14 SEPTEMBER 2011
REPORT OF:	CABINET MEMBER FOR ADULT SOCIAL CARE AND HEALTH

STATEMENT OF CONFIDENTIALITY

Confidential appendices 1 and 2 are attached to this report, the confidentiality of which is based on category 3 of paragraph 10.4 of the Council's access to Information Procedure Rules. It is not considered to be in the public interest to disclose this information because the Appendices contain confidential and commercially sensitive information which would impact on the integrity of a commercial procurement process and the Council's ability to achieve 'Best value' in line with its statutory duties.

BRIEF SUMMARY

Due to the age of the Council owned residential homes and the limited availability of capital, and despite structural work still planned, the homes are now identified as not meeting the standards with respect to decor, furnishings and fittings to comply with the Governments' newly outlined intention that Councils purchase only residential care that is graded as 'excellent'. It is therefore recommended that a programme of work is undertaken to refresh the decoration, furnishing and fittings of the five homes and that a plan is enabled to support ongoing decoration as regular activity.

RECOMMENDATIONS:

CABINET

- (i) Subject to the addition by Council of the sum detailed in Appendix 1 for improvements to the fabric and furnishings of the Council owned residential homes, to approve capital expenditure, in accordance with the Financial Procedure Rules as described in this report.

COUNCIL

- (i) To add the sum detailed in Appendix 1 to the Adult Social Care and Health Capital Programme for expenditure on improvements to the fabric and furnishings of the Council owned residential homes, to be funded from Council resources made available through the Department of Health Personal Social Services Capital Grant allocation for 2011/12.

REASONS FOR REPORT RECOMMENDATIONS

1. The state of furnishings, decoration and the fabric of the homes does not value and respect the dignity of the people who reside in these homes who, in the majority of cases, will actually end their lives in this environment.
2. The national care agenda is expected to require Councils to have a plan to support residential placements only in homes regarded as 'Excellent' by the Care Quality Commission. None of the Council run homes are graded as excellent.

ALTERNATIVE OPTIONS CONSIDERED AND REJECTED

3. The option of taking no further action beyond that planned in the existing capital programme of external and structural work is not considered a reasonable alternative due to the potential for continued deterioration of the internal fabric and furnishings of the buildings and because of the potential requirement for the Council to be seen to be taking action to place residential care customers only in excellent homes.
4. It is also the case that due to the age of some of the existing furnishings, it is important that the Council ensures that current Fire Safety regulations apply to all furnishings which may necessitate the replacement of those that cannot be verified. Although no residents are permitted to smoke in the homes the customer group, which consists of some people who do not have the mental capacity to understand the implications of their behaviour, means that there remains the potential for accidental fire risk.
5. The option of undertaking a piecemeal approach, decorating and replacing furnishings only as people leave the home and vacate their room has been considered but the scale and poor state of the current furnishings would therefore remain for an unreasonable length of time in the majority of the environment preventing the homes achieving an 'Excellent' grade. This option would also be less efficient due to the increased cost of purchasing with an ad hoc approach rather than gaining the economies of scale and procurement power available in mass purchase.

DETAIL (Including consultation carried out)

6. The five residential homes in the City consist of three older peoples' homes providing long term care to people with dementia, one home providing rehab and respite to older people with physical disabilities and one home providing respite to people who have learning disabilities. These homes were all purpose built in the early 1980s and have received very limited capital input for furnishings and decoration. Although occasionally relatives have paid for a room to be decorated and the homes' revenue budgets have been used to make very limited changes to decoration, the paintwork is poor, curtains have not been renewed, furnishing is, in most cases, elderly and in poor state and carpets have suffered from domestic and bodily accidents. The domestic staff work hard to maintain cleanliness and steam clean where possible but the fabric is now in very poor state.
7. The residents who use these homes are vulnerable and have limited choice but the Council has a responsibility to support the provision of care arrangements that are fit for purpose. Due to the nature of the people who use these homes, meaningful consultation is limited but residents' meetings are held regularly and, as much as possible, residents have been consulted and express a desire to have better surroundings. As decoration is progressed, individual family members will also be consulted to ensure that known preferences are met where possible. Each of the homes' managers has been consulted and has been involved in identifying the requirements to bring the internal state of the building up to an acceptable standard.
8. In addition, the personalisation agenda within social care, whereby all people who are financially supported by the Local Authority in their care arrangements will be given a personal budget; people will be expected to have a greater level of choice in planning their care. The Council's homes

currently compare unfavourably with external provision. However, the market for care provision is limited in the local area meaning that the continued use of these buildings for residential care is inevitable in the short and medium term. In these circumstances, Councillors may consider it unacceptable to continue to provide residential care that is below the standard of excellent.

9. Without timely input into the furnishing and fabric of the homes, continued deterioration will take place reducing the potential to maintain standards to meet the Care Quality Commission's requirements even to a lower level than excellent.

RESOURCE IMPLICATIONS

Capital

10. In order to bring the five remaining Council run homes up to a reasonable standard expenditure on decoration and furnishings the sum detailed in Appendix 1 is required.
11. A detailed spending plan for each residential home is supplied within Appendix 2. A summary spending plan for the proposed scheme is included at Appendix 1.
12. In accordance with details contained in Appendix 1, the sum required for this scheme will be funded entirely from Council resources made available through the DOH Personal Social Services Capital Grant allocation for 2011/12. This is subject to approval by Council.
13. It is proposed that the expenditure for this scheme will be incurred within 2011/12 only. If approved, it is anticipated that the scheme will commence in September 2011.

Revenue

14. There are no revenue implications to this report for Adult Social Care and Health Portfolio.

Property/Other

15. The capital sum required is proposed to be spent on the fabric and furnishings within the residential homes. There are no structural improvements included that are not already programmed or planned for in separate capital schemes or repairs and maintenance programmes.

LEGAL IMPLICATIONS

Statutory power to undertake proposals in the report:

16. National Assistance Act 1948 Part three re the provision of residential accommodation
17. Health and Social Care Act 2008 (Regulated Activities) Regulations 2010

Other Legal Implications:

18. Care Quality Commission (Registration) Regulations 2009

POLICY FRAMEWORK IMPLICATIONS

19. This proposal complies with the policy direction of the Council to support older people and to maximise independence.

AUTHOR:	Name:	Jane Brentor, Head of Care Provision	Tel:	023 8083 3439
	E-mail:	jane.brentor@southampton.gov.uk		

KEY DECISION? Yes

WARDS/COMMUNITIES AFFECTED:	none
------------------------------------	------

SUPPORTING DOCUMENTATION

Appendices

1.	Table of Costs detailed by Residential Home - CONFIDENTIAL
2.	Summary of decoration and furnishing - CONFIDENTIAL

Documents In Members' Rooms

1.	None
----	------

Integrated Impact Assessment

Do the implications/subject of the report require an Integrated Impact Assessment (IIA) to be carried out.	Yes
--	-----

Other Background Documents

Integrated Impact Assessment and Other Background documents available for inspection at:

Title of Background Paper(s)	Relevant Paragraph of the Access to Information Procedure Rules / Schedule 12A allowing document to be Exempt/Confidential (if applicable)
------------------------------	--

1.	None
----	------

Document is Confidential

This page is intentionally left blank

Document is Confidential

This page is intentionally left blank

DECISION-MAKER:	CABINET
SUBJECT:	DISPOSAL OF LAND AT 512 PORTSMOUTH ROAD SHOLING, SOUTHAMPTON
DATE OF DECISION:	5 SEPTEMBER 2011
REPORT OF:	CABINET MEMBER FOR RESOURCES, LEISURE AND CULTURE
STATEMENT OF CONFIDENTIALITY	
None	

BRIEF SUMMARY

This report seeks authority for the sale of the Council's freehold interest in 512 Portsmouth Road. The property - a food warehouse, was acquired by the City Council in August 2009, to facilitate the redevelopment of the former Grove Park School site for the new Oasis Academy Mayfield. The food warehouse on the site has been demolished and a section of land has now been redeveloped to provide the new public highway into the Academy. The remainder of the site is now clear of all buildings and is currently being used as a secure compound in association with the Academy Build Programme by Carillion. The site is ready to be marketed enabling a disposal as the completion of the Academy approaches.

RECOMMENDATIONS:

- (i) To approve the principle of the sale of the Council's freehold interest.
- (ii) To delegate authority to Head of Property and Procurement following consultation with the Executive Director for Corporate Services and the Cabinet Member for Resources, Leisure and Culture to approve the preferred bidder, agree terms of the sale and carry out all ancillary matters to enable disposal of the site.

REASONS FOR REPORT RECOMMENDATIONS

1. To facilitate the redevelopment of a surplus site identified for employment uses in the City's Local Planning framework, thereby delivering regeneration of a property within an established industrial estate.
2. To realise a capital receipt.

ALTERNATIVE OPTIONS CONSIDERED AND REJECTED

3. Do nothing. While the property is utilised as a site compound for Carillion in association with the build programme for Oasis Academy Mayfield the property could be held for sale at a later date. Marketing at the point of vacancy will result in a prolonged period of void management which will incur costs for the Council and increase the risk of unauthorised occupation. Marketing the site early will mitigate the void period with ability to secure a purchaser at the point of vacancy by Carillion.
4. Retain the property – The property is surplus to the Council requirements, the acquisition enabled site assembly to deliver the Academy programme.

DETAIL (Including consultation carried out)

5. 512 Portsmouth Road when acquired in August 2009 comprised of a chilled and frozen food warehouse, with ancillary parking and offices. The property now comprises of a cleared site following the demolition of the food warehouse building in June 2010 as part of the Academy Design and Build construction programme.
6. A proportion of the southern boundary of the site has been redeveloped to provide a new access road into the new Oasis Mayfield Academy, leading directly off from Ashley Crescent. The new access road will be a public highway following completion of the Academy. The property is currently being used by Carillion Plc as the construction compound in association with the build programme at the Academy site.
7. The site when acquired in August 2009 comprised 0.75 acres (0.30 Hectares) the construction of the Academy access road has reduced the site to 0.61 acres (0.24 hectares).
8. Following the vacation of the Academy's contractors Carillion Plc the site will be a cleared site capable of being redeveloped subject to securing planning consent. The surplus site is shown on the plan V3182 in Appendix 1.
9. 512 Portsmouth Road occupies a prominent corner position on Portsmouth Road and Ashley Crescent industrial estate with good road frontage onto Portsmouth Road.
10. The property is safeguarded in the Local Adopted Plan for Industry and Warehousing as part of the Ashley Crescent Industrial Estate. The Adopted Core Strategy has retained this safeguarding employment policy. The Core Strategy Policy CS7 Safeguarding Employment Sites promotes retention of all existing employment sites until the new DPD Development Plan site allocation is produced in 2014.
11. The site is suitable for redevelopment for warehousing and light industrial uses and potential alternative uses including Motor trade. The site is to be marketed without the benefit of outline planning consent seeking offers on a conditional basis and inviting bids on the basis of the bidder securing planning approval for redevelopment.
12. The early marketing of the site will enable the Council to secure a preferred bidder in early 2012, with a view to completing a sale in late 2012, following vacation of Carillion and the bidders requirements to secure planning approval to redevelop the property.

RESOURCE IMPLICATIONS

Capital/Revenue

13. The capital receipt will be allocated to the General Fund.
14. To note that the capital receipt will be used to reduce the current funding deficit in the capital programme.
15. The property has been opted to tax and VAT will be due on the sale proceeds. The property was opted at the point of purchase due to the VAT implications of the Academy Build programme.

Property/Other

15. The property is currently subject to occupation of Carillion Plc as a site compound in accordance with the terms of the Design and Build Development Agreement between Southampton City Council, Oasis Community Learning and Carillion Plc. The Council will not be able to complete the sale until Carillion have completed the development and vacated the site. Carillion are due to vacate in September 2012.

LEGAL IMPLICATIONS

Statutory power to undertake proposals in the report:

16. The relevant power of disposal is Section 123 of the Local Government Act 1972.

Other Legal Implications:

17. None.

POLICY FRAMEWORK IMPLICATIONS

18. The disposal of a Council property for a capital receipt supports the Councils capital programme.

AUTHOR:	Name:	Mrs Ali Mew	Tel:	023 8083 3425
	E-mail:	Ali.mew@southampton.gov.uk		

KEY DECISION? Yes/No

WARDS/COMMUNITIES AFFECTED:	Sholing
------------------------------------	---------

SUPPORTING DOCUMENTATION

Non-confidential appendices are in the Members' Rooms and can be accessed on-line

1.	Plan V3182.
----	-------------

Documents In Members' Rooms

1.	None
----	------

Integrated Impact Assessment

Do the implications/subject of the report require an Integrated Impact Assessment (IIA) to be carried out.	Yes/No
--	---------------

Other Background Documents

Integrated Impact Assessment and Other Background documents available for inspection at:

Title of Background Paper(s)	Relevant Paragraph of the Access to Information Procedure Rules / Schedule 12A allowing document to be Exempt/Confidential (if applicable)
------------------------------	--

1.	None	
----	------	--

This page is intentionally left blank

DECISION-MAKER:	CABINET COUNCIL
SUBJECT:	CITY PLAN 2011 - 2014
DATE OF DECISION:	5 SEPTEMBER 2011 14 SEPTEMBER 2011
REPORT OF:	THE LEADER OF THE COUNCIL
STATEMENT OF CONFIDENTIALITY	
None.	

BRIEF SUMMARY

Southampton Connect was launched in April 2011 replacing the previous Southampton Partnership. It was created in response to a strong desire by key partners to collaborate and deepen well developed partnership arrangements to address the key challenges facing the City. This included, at a national level, the Government's deficit reduction plan and, at a local level, the ongoing impact of the recession. In establishing Southampton Connect, it was agreed that it would develop and drive forward a new City Plan for Southampton based on a new Vision for the city and identify a number of actions to be delivered collaboratively to address the City's priorities and challenges. Copies of the draft City Plan 2011 – 2014 are available in the Members' Rooms and from the report author.

RECOMMENDATIONS:

Cabinet:

- (i) To endorse the draft City Plan 2011 – 2014 prepared by Southampton Connect and to recommend its approval to Council on 14th September 2011.

Council:

- (i) To endorse the draft City Plan 2011 – 2014 prepared by Southampton Connect.
- (ii) To agree that the Council will prioritise the necessary resources to lead the delivery of 3 priority projects (out of 10 projects) as the lead partner.
- (iii) To note the approval process by all key partners and subsequent formal launch of the City Plan in October 2011.

REASONS FOR REPORT RECOMMENDATIONS

1. The Council is a significant partner within the new Southampton Connect framework as well as the lead organisation for 3 out of the 10 priority projects contained within the draft City Plan 2011 – 2014. As such, the Council is being requested to endorse the draft City Plan along with all the other key partners within Southampton Connect such as, for example, Business Solent, Hampshire Chamber of Commerce, Hampshire Constabulary, Further Education Colleges and Higher Education Universities. A full list of the partners endorsing the draft City Plan can be found within it.

ALTERNATIVE OPTIONS CONSIDERED AND REJECTED

2. None as the draft City Plan has been developed by Southampton Connect which the Council, as a member of the partnership, is invited to endorse. The Council has undertaken a significant facilitation and quality checking role in preparing the draft City Plan.

DETAIL (Including consultation carried out)

3. What is the City Plan?

The Government is currently repealing the statutory duty to prepare a 'sustainable community strategy' and therefore, the draft City Plan does not have to comply with any set national criteria. It is Southampton Connect's Plan setting out its Vision for the City, the City challenges we face and the opportunities we can benefit from. It identifies the City's Priority Projects Programme (in headline terms) aligned to the City Challenges, along with critical key performance indicators for tracking progress. Once launched, the City Plan is intended to be a live working document with 1 year hard / 2 years soft targets and an annual rolling refresh. The City Plan itself is intended to be short, punchy and easy to read document that sign-posts to a wealth of detail (Index of Multiple Deprivation data, City statistics, detailed information on the Priority Projects, etc) on the Southampton Connect website (www.southampton-connect.com). With this in mind, it has been produced as a folding double-sided A2 document that all partners can carry easily and / or pin up on walls, notice boards, etc. The City Plan will be formally launched in October 2011.

4. The City Vision

Southampton Connect has articulated its Vision for the city and, through the draft City Plan approval process, is currently seeking adoption by all member organisations. The Vision is: 'Southampton – a connected city for growth and creativity; gateway to a world of opportunities'.

5. It is a radical departure from the previous (longer) Vision and reflects Southampton Connect's desire for something succinct and inclusive that aligns with the Priority Projects and new ways of working. With only 14 words, it is avowedly forward looking and has brevity on its side. Gateway and opportunities have also been weaved into the titles of the Priority Projects in order to demonstrate the connectivity of them to the Vision. It has also been crafted in such a way that different interests can be aligned with it to contribute to the overall direction of travel. For example, by explicating linking 'gateway' with 'opportunities', Southampton Connect will encourage sub-brands to emerge from the Vision for example:

- 'Southampton – gateway to a world of business opportunities'
- 'Southampton – gateway to a world of cultural opportunities'
- 'Southampton – gateway to a world of health opportunities'
- 'Southampton – gateway to a world of maritime opportunities'
- 'Southampton – gateway to a world of retail opportunities'
- 'Southampton – gateway to a world of volunteering opportunities'.

6. Priority Projects Programme

Southampton Connect has agreed 10 priority projects which they will lead on to accelerate progress through citywide collective action and focus. Collectively, these 'added value' projects aim to improve performance against 12 key city performance indicators - both across the city as a whole and within our priority neighbourhoods (which experience significant levels of under-performance due to high levels of deprivation and disadvantage when compared with the city average). Listed below are the priority projects with the agreed lead partner:

7.	1. Promoting Southampton as the Connected City	Business Solent
	2. Gateway to a World of Business Opportunities	Hampshire Chamber of Commerce
	3. Gateway to Employment & Volunteering Opportunities	Southampton Solent University
	4. Connecting Leaders of a Learning City	Southampton City Council
	5. Gateway to a World of Learning Opportunities	Southampton City College
	6. Gateway to a Healthier & Safer Southampton	NHS Southampton City
	7. Gateway to a Better Future	Jobcentre Plus
	8. Connecting the City to Reduce Re-Offending	Hampshire Probation Trust
	9. Connecting towards a Greener City	Southampton City Council
	10. Gateway to a fairer Southampton	Southampton City Council

8. The draft City Plan provides a headline description for each project along with the key milestones. More detailed information on each of the projects, along with contact details for how to get involved, will be uploaded on Southampton Connect's website in due course. Whilst Southampton City Council is the lead for 3 of the projects, it will undoubtedly have a significant role to play in all of them.

9. City Key Performance Indicators

Southampton Connect has identified 12 critical City Key Performance Indicators. These will be monitored to ensure that its programme of priority projects impact not only across the city but, also quite importantly within our priority neighbourhoods which were identified through an analysis of the Index of Multiple Deprivation 2010. The 12 City Key Performance Indicators are:

- 1) Increase VAT registration rates/longevity for new business start-ups
- 2) Increase overall number of private sector jobs created
- 3) Increase overall number of business in the city with staff travel plans
- 4) Percentage reduction in CO2 emissions per person in the local authority area against the emissions in the baseline year
- 5) Increase key stage four results including English and Maths
- 6) Increasing the number of local young people securing jobs, apprenticeships or progressing to further and higher education
- 7) Increasing the number of people progressing into paid work or study as a result of work experience / volunteering

- 8) Reducing all offending and reoffending rates
- 9) Reducing the number of people claiming Incapacity Benefit for mental health reasons
- 10) Reducing the number of working age people claiming benefits
- 11) Reducing the number of NEETs (not in education, employment, or training)
- 12) Reducing the gap between our priority neighbourhoods and the city average for:
 - Educational attainment rates
 - Numbers of Job Seekers Allowance claimants
 - Crime levels
 - Life expectancy
 - Teenage pregnancy rates

10. **Draft City Plan – Adoption & Launch**

As the draft City Plan has been developed by Southampton Connect, formal organisational sign-up is now being sought from all key partners by the 30th September 2011. Following this the draft City Plan will be formally adopted by Southampton Connect and launched in October 2011. As part of the approval process, authority is delegated to the Chief Executive, in his capacity as Chair of Southampton Connect, to finalise the draft City Plan for launch including incorporating any changes requested during the approval process and to subsequently refresh the City Plan in 2012, 2013 and 2014 to reflect any changes in the city priorities and / or the associated Priority Projects Programme.

RESOURCE IMPLICATIONS

Capital/Revenue

11. There are no additional capital or revenue implications for 2011/12 arising from the proposals outlined in this report. The targets and commitments contained within the draft City Plan will be met from the resources allocated to Portfolios through the 2011/12 budget setting process.

Property/Other

12. None as a consequence of the recommendations contained within this report.

LEGAL IMPLICATIONS

Statutory power to undertake proposals in the report:

13. None.

Other Legal Implications:

14. None.

POLICY FRAMEWORK IMPLICATIONS

15. Whilst the City Plan is no longer a statutory requirement or part of the council's Policy Framework, it is expected that other plans and strategies within the Policy Framework will seek to address the challenges in the City Plan and contribute to the priorities and projects detailed within it.

AUTHOR:	Name:	Henry Pavey	Tel:	023 8083 3332
	E-mail:	henry.pavey@southampton.gov.uk		

KEY DECISION? No

WARDS/COMMUNITIES AFFECTED:

All wards and communities in Southampton will be affected by the implementation of the proposals set out in the City Plan.

SUPPORTING DOCUMENTATION

Non-confidential appendices are in the Members' Rooms and can be accessed on-line

Appendices

1.	Draft City Plan 2011 - 2014
----	-----------------------------

Documents In Members' Rooms

	None.
--	-------

Integrated Impact Assessment

Do the implications/subject of the report require an Integrated Impact Assessment (IIA) to be carried out.	No
--	----

Other Background Documents

Integrated Impact Assessment and Other Background documents available for inspection at:

Title of Background Paper(s)	Relevant Paragraph of the Access to Information Procedure Rules / Schedule 12A allowing document to be Exempt/Confidential (if applicable)
------------------------------	--

This page is intentionally left blank

DECISION-MAKER:	CABINET MEMBER FOR ADULT SOCIAL CARE AND HEALTH
SUBJECT:	TO ADOPT THE SOUTHAMPTON JOINT STRATEGIC NEEDS ASSESSMENT 2011-14
DATE OF DECISION:	5 SEPTEMBER 2011
REPORT OF:	EXECUTIVE DIRECTOR OF HEALTH AND ADULT SOCIAL CARE AND DIRECTOR OF PUBLIC HEALTH
STATEMENT OF CONFIDENTIALITY	
None.	

BRIEF SUMMARY

The Local Government and Public Involvement in Health Act (2007) places a duty on upper-tier local authorities and PCTs to undertake a Joint Strategic Needs Assessment (JSNA). JSNA is a process that will identify the current and future health and wellbeing needs of a local population, informing priorities and targets leading to agreed commissioning priorities. A re-refresh of the JSNA process for Southampton has been completed, and the Cabinet Member is requested to approve the process on behalf of the Council.

RECOMMENDATIONS:

- (i) That the Cabinet Member agrees the Joint Strategic Needs Assessment (JSNA) 2011-14;
- (ii) That authority be delegated to the Executive Director of Health and Adult Social Care and the Director of Public Health to update the JSNA as new data and information becomes available.

REASONS FOR REPORT RECOMMENDATIONS

1. To provide approval for a new JSNA and to ensure the data it contains is up to date.

ALTERNATIVE OPTIONS CONSIDERED AND REJECTED

2. None. The local authority and the PCT are under a legal duty to produce a Joint strategic Needs Assessment.

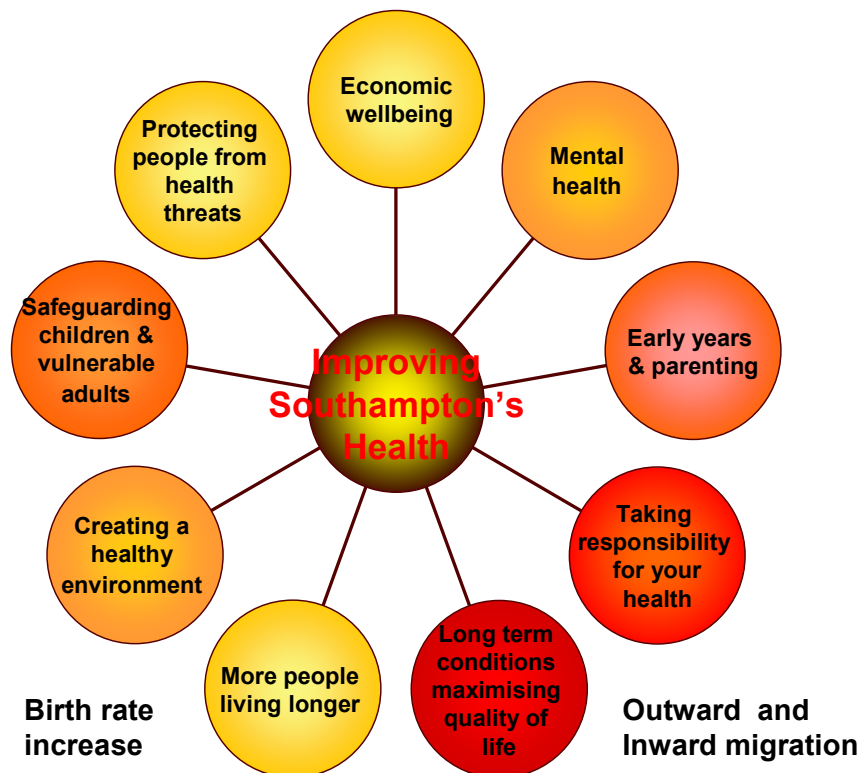
DETAIL (Including consultation carried out)

3. The JSNA sets out to identify the 'big picture' for health and wellbeing and is a statutory requirement of the PCT and City Council to produce. The JSNA defines a needs assessment as:

“a systematic method for reviewing the health and wellbeing needs of a population, leading to agreed commissioning priorities that will improve health and wellbeing outcomes and reduce inequalities.”

Department of Health JSNA Guidance p.7 (2007).
4. The first JSNA covered the period 2008 – 2011. During 2010 work was undertaken to review and update the assessment. A consultation draft JSNA was produced in the summer of 2010, and over a period of almost 5 months, key stakeholders were consulted, and the responses generated have informed the JSNA now presented for approval. The Health Scrutiny Panel has received 2 reports on the JSNA. It made a number of detailed comments and these have been included in the executive summary at Appendix 1.

5. The new arrangements for health structures and the role of the JSNA present a real challenge. The JSNA needs to be reliable, relevant and available throughout its lifetime. To respond to these challenges it is proposed that rather than be a paper document, the JSNA should become a web-based resource. This has been undertaken by several other local authorities cited in best practice reviews and it has several advantages. It will not become out of date soon after publication and it can be updated regularly and take account of the latest data. New datasets can be added if major new issues and challenges arise. This will assist commissioners in making better informed decisions. It will also be available to any interested parties at all times. Furthermore, it saves the expense of printing a large document that may not be fully utilised, as early on in its life newer information may be available elsewhere.
6. A new JSNA feature will address which assets are already available. This is in response to comments made during the consultation process that presenting only the needs meant that the existing investments and assets in place were not reflected. Adding this information to the JSNA will add value; commissioners will be able to identify resources provided by other organisations and other parts of their own organisation creating opportunities for achieving additional synergies, enabling more effective commissioning decisions, resulting in better value for money.
7. It was also felt necessary to produce a more accessible and concise account of the issues that have come out of the JSNA review process. To this end, an executive summary has been produced which draws the evidence into 9 key themes. This helps the council and the NHS identify in broad terms areas of significant need that will require investment to improve health outcomes and reduce health inequalities. The 9 themes are set out in the diagram below:



The executive summary document is attached as Appendix 1 to this report.

8. The government has been pursuing major reforms to the NHS, public health and adult social care over the past year. However the JSNA has continued to be seen as a vital process, and central to the NHS and local authorities being able to undertake informed and effective commissioning decisions. Subject to the passage of the Bill, production of the JSNA will, in future, be the joint responsibility of the local authority and the local clinical commissioning group, the new local health commissioning agency which replaces the GP commissioning consortium proposed in the 2010 NHS White Paper and the original Health and Social Care Bill, through the new Health and Wellbeing Board. It will need to inform the Joint Health and Wellbeing Strategy, again, to be a joint responsibility between the local authority and the clinical commissioning group through the Health and Wellbeing Board.

RESOURCE IMPLICATIONS

Capital/Revenue

9. There are no capital implications contained in this report. There are no direct revenue implications. The JSNA will inform future commissioning decisions to ensure the effective use of such revenue budgets as are approved by the council.

Property/Other

10. There are no property implications contained in this report.

LEGAL IMPLICATIONS

Statutory power to undertake proposals in the report:

11. The Local Government and Public Involvement in Health Act (2007) places a duty on upper tier local authorities and PCTs to undertake Joint Strategic Needs Assessment.

Other Legal Implications:

12. None.

POLICY FRAMEWORK IMPLICATIONS

13. None.

AUTHOR:	Name:	Martin Day	Tel:	023 8091783
	E-mail:	martin.day@southampton.gov.uk		

KEY DECISION? Yes

WARDS/COMMUNITIES AFFECTED:	All
------------------------------------	-----

SUPPORTING DOCUMENTATION

Non-confidential appendices are in the Members' Rooms and can be accessed on-line

Appendices

1.	JSNA Executive Summary
----	------------------------

Documents In Members' Rooms

1.	None
----	------

Integrated Impact Assessment

Do the implications/subject of the report require an Integrated Impact Assessment (IIA) to be carried out.	No
--	----

Other Background Documents

Integrated Impact Assessment and Other Background documents available for inspection at:

Title of Background Paper(s)	Relevant Paragraph of the Access to Information Procedure Rules / Schedule 12A allowing document to be Exempt/Confidential (if applicable)
------------------------------	--

1.	None	
----	------	--